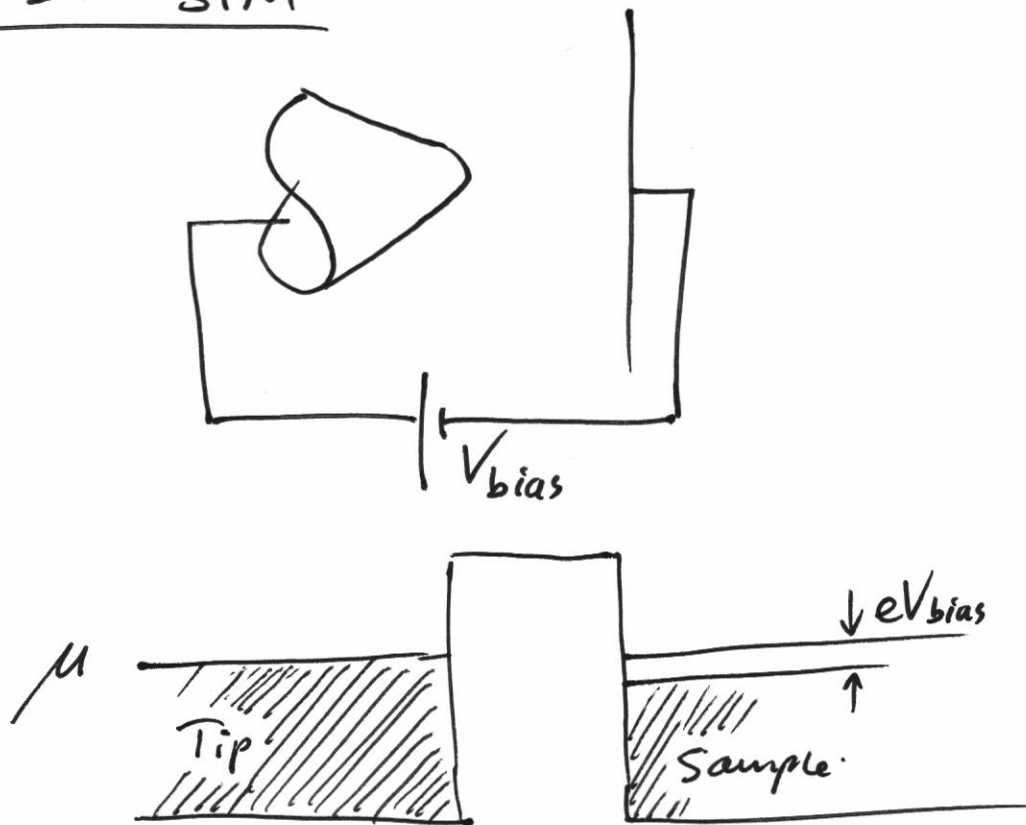


## TRANSPORT PHENOMENA INVOLVING TUNNELING

- Scanning tunneling microscopy (STM)
- Superconducting Quantum Interference Devices (SQUIDS)  
[used for detecting extremely small  $\vec{B}$ -fields]
- Tunnel diodes
- a.c. Josephson effect (tunneling of Cooper pairs)
- Tunnel Magneto Resistance devices (TMR)  
[used in disk drives]
- Leaky gates in modern transistors.

### EXAMPLE 1: STM



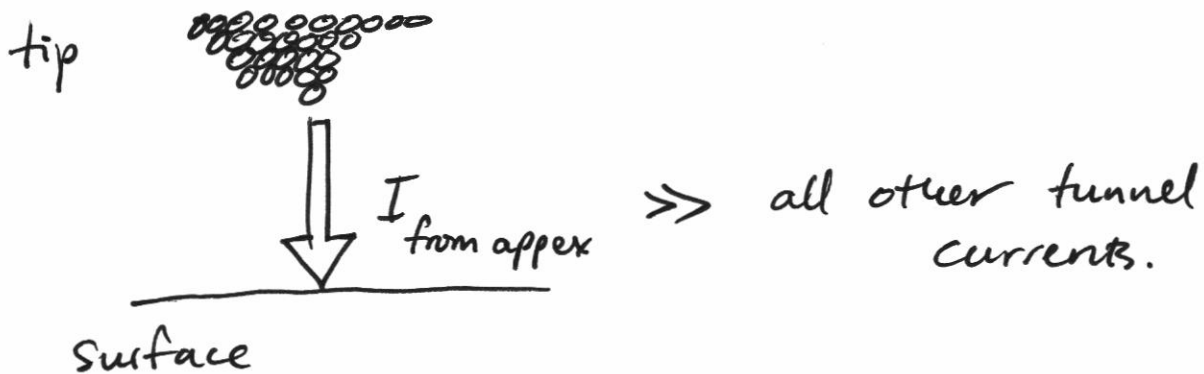
(2)

Tunneling is an elastic process.  $e^-$  leaves an occupied state of energy  $E$  and enters an unoccupied state of equal energy.

$$I_{\text{tunnel}} \propto \int_0^{\infty} D_{\text{tip}}(E) D_{\text{sample}}(E) \left[ f_{\text{tip}}(E) (1 - f_{\text{sample}}(E)) \right] P_{\text{tunnel}}(E) dE$$

[Note: This eq<sup>n</sup> does not address the attempt freq, how frequently ~~the~~  $e^-$ s try to tunnel]

## HIGH SPATIAL RESOLUTION OF STM



$$\frac{I_{\text{from apex}}}{I_{\text{other}}} \approx \frac{P_{\text{tunnel}}(x_0)}{P_{\text{tunnel}}(x_0 + 3\text{\AA})}$$

(3)

$$= \frac{\exp[-2kx_0]}{\exp[-2k(x_0 + 3\text{\AA})]}$$

$$= \exp[-2k \cdot 3\text{\AA}]$$

where  $k = \frac{1}{\hbar} \sqrt{2m(U_0 - E)}$

$$= \frac{1}{\hbar} \sqrt{2m(3\text{eV})}$$

$$= 10^{10} \text{ m}^{-1}$$

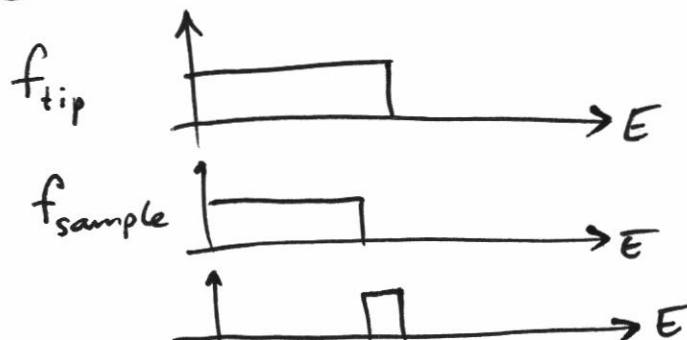
typical number for work fu of a metal.

$$\frac{I_{\text{from appex}}}{I_{\text{other}}} \approx \text{400}$$

## SPECTRAL RESOLUTION OF STM

Consider the term  $f_{\text{tip}}(E)(1 - f_{\text{sample}}(E))$

when  $T=0$



(4)

The integral for  $I_{\text{tunnel}}$  reduces to

$$I_{\text{tunnel}} \propto \int_{\mu}^{\mu + eV_{\text{bias}}} D_{\text{tip}}(E) D_{\text{sample}}(E) P_{\text{tunnel}}(E) dE$$

If we assume  $D_{\text{tip}}(E) \approx \text{const}$

$P_{\text{tunnel}}(E) \approx \text{const}$

and  $D_{\text{sample}}(E)$  varies strongly with  $E$

then

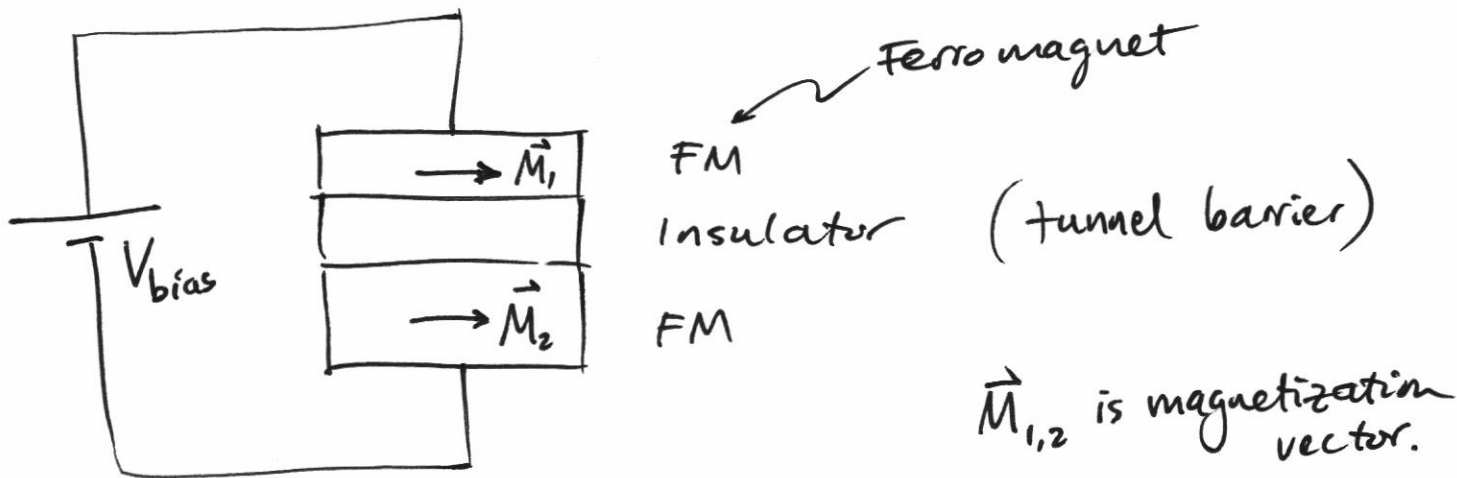
$$I_{\text{tunnel}} \propto \int_{\mu}^{\mu + eV_{\text{bias}}} D_{\text{sample}}(E) dE$$

$$\boxed{\frac{dI_{\text{tunnel}}}{dV_{\text{bias}}} \propto D_{\text{sample}}(E)}$$

Popular technique for measuring density of states.

(5)

## EXAMPLE 2: TUNNEL MAGNETO RESISTANCE



The resistance of this stack can be changed by applying a magnetic field.

$\begin{matrix} \rightarrow M_1 \\ \rightarrow M_2 \end{matrix} \}$  Low resistance state

$\begin{matrix} \rightarrow M_1 \\ \leftarrow M_2 \end{matrix} \}$  High resistance state.

IMPORTANT NOTE: The  $e^-$  spin cannot flip during the tunneling process.

We consider the flow of spin  $\uparrow e^-$  separate from the flow of spin  $\downarrow e^-$ .

$$I_{\text{tot}} = I_{\uparrow} + I_{\downarrow}$$

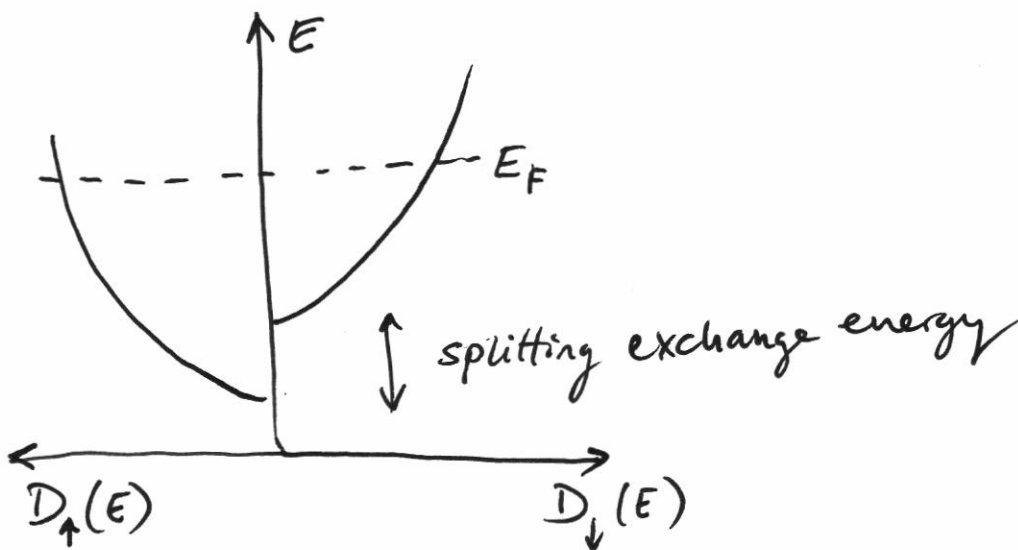
6



$$I_{\uparrow} = \text{const} \int_0^{\infty} D_{\uparrow 1} D_{\uparrow 2} P_{\text{tunnel}} f_1 (1 - f_2) dE$$

$$I_{\downarrow} = \text{const} \int_0^{\infty} D_{\downarrow 1} D_{\downarrow 2} P_{\text{tunnel}} f_1 (1 - f_2) dE$$

$\uparrow M$



See HW #5

(7)

### EXAMPLE 3: TUNNEL DIODES

Paper #1: MIM diodes by John Wager's group  
at OSU.

Advanced Materials ~~11~~ 23 74 (2011)

Paper #2: Vertical Graphene-Base Hot-electron Transistor  
by Zeng et al. Nano Letters 13 2370  
(2013)

Other interesting papers:

"Tunnel field-effect transistors as energy-efficient  
electronic switches"

Nature 479 329 (2011)